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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/769,569
	Filing Date	January 30, 2004
	First Named Inventor	Roy Lim
	Art Unit	3732
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number 4002-3305/PC902.00

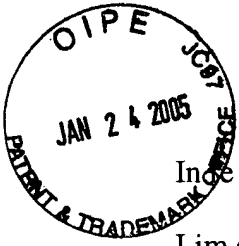
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Firm or individual name	Douglas A. Collier Woodard, Emhardt, Moriarty, McNeely & Henry LLP
Signature	<i>Douglas A. Collier</i> #43,556
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application: )  
)  
Lim et al. ) Before the Examiner  
)  
Serial No. 10/769,569 ) Unknown  
)  
Filed Jan. 30, 2004 )  
)  
INSTRUMENTS AND METHODS FOR ) Atty Docket No. 4002-3305/PC902.00  
MINIMALLY INVASIVE SPINAL )  
STABILIZATION ) January 21, 2005

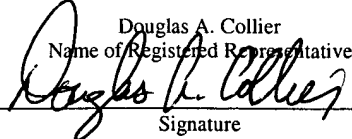
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Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56 and 1.98,  
Applicant wishes to bring to the attention of the Examiner the items of information listed  
on the enclosed Information Disclosure Statement by Applicant. This information has  
not been previously submitted in this application, and has not been heretofore cited by the  
examiner. Copies of the non-U.S. Patent references are enclosed herewith.

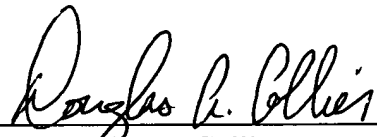
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Name of Registered Representative  
  
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The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, prior art or material to the patentability as defined in 37 C.F.R. §1.56.

This Statement is being submitted before a First Office Action on the merits. Therefore, it is believed that no fee is required for consideration of the submitted items. Should any fee be required, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

By:   
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

*Complete if Known*

Application Number	10/769,569
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Filing Date	01/30/2004
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First Named Inventor	Justis et al.
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**Group Art Unit**

**Examiner Name**

Sheet	1	of	1	Attorney Docket Number	4002-3305/PC902.00
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## U.S. PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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